

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re: ROYAL DOULTON USA INC.

§ Case No. 09-12513-shl

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Debtor(s)

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY ADMINISTERED  
AND APPLICATION TO BE DISCHARGED (TDR)**

JOHN S. PEREIRA, chapter 7 trustee, submits this Final Account,  
Certification that the Estate has been Fully Administered and Application to be Discharged.

1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.

2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$17,833.00</u> (without deducting any secured claims)	Assets Exempt: <u>N/A</u>
Total Distribution to Claimants: <u>\$15,365.59</u>	Claims Discharged Without Payment: <u>N/A</u>
Total Expenses of Administration: <u>\$885,578.92</u>	

3) Total gross receipts of \$ 939,015.60 (see **Exhibit 1** ), minus funds paid to the debtor and third parties of \$ 38,071.09 (see **Exhibit 2** ), yielded net receipts of \$900,944.51 from the liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	\$451,158,857.00	\$236.10	\$236.10	\$236.10
PRIORITY CLAIMS:				
CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	0.00	888,264.00	885,578.92	885,578.92
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	0.00	0.00	0.00	0.00
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	0.00	44,414.51	3,748.19	3,748.19
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	3,143,595.70	162,816.35	154,388.16	11,381.30
<b>TOTAL DISBURSEMENTS</b>	\$454,302,452.70	\$1,095,730.96	\$1,043,951.37	\$900,944.51

4) This case was originally filed under Chapter 7 on April 23, 2009.  
The case was pending for 141 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 02/04/2021 By: /s/JOHN S. PEREIRA  
Trustee

**STATEMENT:** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 –GROSS RECEIPTS**

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
BANK ACCOUNT - BANK OF AMERICA	1129-000	627,217.95
TAX REFUND - DELAWARE	1124-000	10.05
TAX REFUND - FEDERAL	1124-000	21,447.00
TAX REFUND - INDIANA	1124-000	3,249.97
TAX REFUND - MAINE	1124-000	55.00
TAX REFUND - MARYLAND	1124-000	49.00
TAX REFUND - MASSACHUSETTS	1124-000	64.00
TAX REFUND - NEW JERSEY	1124-000	4,000.00
TAX REFUND - NEW YORK CITY	1124-000	273.00
TAX REFUND - NORTH CAROLINA	1124-000	54.05
TAX REFUND - PENNSYLVANIA - CNI/CS/FF	1124-000	857.00
TAX REFUND - VIRGINIA	1124-000	317.00
TURNOVER OF SALES TAX ESCROW REFUND	1229-000	48,662.33
SETTLEMENT - DIGITAL MOVERS, LLC	1241-000	6,215.37
SETTLEMENT - ULINE	1241-000	5,000.00
SETTLEMENT - WASTE MANAGEMENT	1249-000	3,000.00
SETTLEMENT - CLS FACILITY SERVICES	1249-000	1,466.35
SETTLEMENT - NEW ENGLAND MOTOR FREIGHT INC.	1249-000	3,000.00
SETTLEMENT - GOOGLE INC.	1249-000	25,000.00
SETTLEMENT - YRC, INC. fka YELLOW TRANSPORTATION	1241-000	14,462.48
SETTLEMENT - THE ROYAL CROWN DERBY PORCELAIN CO	1241-000	18,500.00
SETTLEMENT - TIENSHEN TABLEWARE LTD.	1241-000	900.00
CAPITAL CREDIT REFUND	1229-000	31.15
SETTLEMENT - AGILITY LOGISTICS	1241-000	27,502.77
SETTLEMENT - NIKKO COMPANY	1241-000	100,000.00
MASSMUTUAL REFUND	1229-000	118.47

REIMBURSEMENT FROM WATERFORD WEDGWOOD USA INC.	1229-000	1,388.09
IREA - ANNUAL RETURNS OF ACCRUED CAPITAL CREDITS	1229-000	69.43
TRANSFER FROM WATERFORD WEDGWOOD USA INC.	1290-000	23,772.15
Interest Income	1270-000	2,332.99
<b>TOTAL GROSS RECEIPTS</b>		<b>\$939,015.60</b>

<sup>1</sup>The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

## **EXHIBIT 2 –FUNDS PAID TO DEBTOR & THIRD PARTIES**

PAYEE	DESCRIPTION	UNIFORM TRAN. CODE	\$ AMOUNT PAID
ESTATE OF WATERFORD WEDGWOOD USA INC.	TRANSFER OF FUNDS [REPAYMENT OF TRUSTEE COMMISSIONS PAID FROM ESTATE OF WATERFORD WEDGWOOD USA INC.]	8500-000	35,171.66
ESTATE OF WATERFORD WEDGWOOD USA, INC.	TRANSFER OF FUNDS TO PAY ADMINISTRATIVE FEES AND EXPENSES	8500-000	0.00
ESTATE OF WATERFORD WEDGWOOD USA INC.	TRANSFER TO RELATED ACCOUNT	8500-000	158.97
ESTATE OF WATERFORD WEDGWOOD USA INC.	TRANSFER TO RELATED ACCOUNT	8500-000	2,740.46
<b>TOTAL FUNDS PAID TO DEBTOR AND THIRD PARTIES</b>			<b>\$38,071.09</b>

## **EXHIBIT 3 –SECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
15	M.W. SCHOFIELD	4220-000	N/A	236.10	236.10	236.10
NOTFILED	Bank of New York	4210-000	225,951,000.00	N/A	N/A	0.00
NOTFILED	WWRD US, LLC	4210-000	151,300.00	N/A	N/A	0.00
NOTFILED	Bank of America N.A.	4210-000	221,542,000.00	N/A	N/A	0.00
NOTFILED	130 WWY51 and 130 WWY10	4210-000	3,514,557.00	N/A	N/A	0.00
<b>TOTAL SECURED CLAIMS</b>			<b>\$451,158,857.00</b>	<b>\$236.10</b>	<b>\$236.10</b>	<b>\$236.10</b>

**EXHIBIT 4 –CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Other - COMMONWEALTH OF MASSACHUSETTS	2820-000	N/A	456.00	456.00	456.00
Other - STATE OF CALIFORNIA	2990-000	N/A	2,685.08	0.00	0.00
Trustee Compensation - JOHN S. PEREIRA	2100-000	N/A	50,200.78	50,200.78	50,200.78
Trustee Expenses - JOHN S. PEREIRA	2200-000	N/A	230.06	230.06	230.06
Other - TROUTMAN SANDERS LLP	3210-000	N/A	730,420.08	730,420.08	730,420.08
Other - TROUTMAN SANDERS LLP	3220-000	N/A	1,461.30	1,461.30	1,461.30
Other - KEVIN M. COFFEY, LLC	3410-000	N/A	44,263.86	44,263.86	44,263.86
Other - MORGAN, LEWIS & BOCKIUS LLP	3721-000	N/A	4,250.00	4,250.00	4,250.00
Other - JOHN S. PEREIRA	2300-000	N/A	413.05	413.05	413.05
Other - SYDNEY BULL	2990-000	N/A	4,400.00	4,400.00	4,400.00
Other - KEVIN M. COFFEY LLC	2410-000	N/A	65.72	65.72	65.72
Other - BRANCHVILLE SELF STORAGE LLC.	2410-000	N/A	65.72	65.72	65.72
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.72	65.72	65.72
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.72	65.72	65.72
Other - JOHN S. PEREIRA	2300-000	N/A	431.45	431.45	431.45
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	66.16	66.16	66.16
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.72	65.72	65.72
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,360.87	1,360.87	1,360.87
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,555.24	1,555.24	1,555.24
Other - PROCESS FORWARDING INTERNATIONAL	2990-000	N/A	2,448.00	2,448.00	2,448.00
Other - PROCESS FORWARDING INTERNATIONAL	2990-000	N/A	700.00	700.00	700.00
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	77.94	77.94	77.94
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - The Bank of New York Mellon	2600-000	N/A	1,451.17	1,451.17	1,451.17
Other - PROCESS FORWARDING INTERNATIONAL	2990-000	N/A	200.00	200.00	200.00
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,537.00	1,537.00	1,537.00
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00

Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,389.39	1,389.39	1,389.39
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,470.11	1,470.11	1,470.11
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,432.98	1,432.98	1,432.98
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,627.40	1,627.40	1,627.40
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - JOHN S. PEREIRA	2300-000	N/A	438.11	438.11	438.11
Other - The Bank of New York Mellon	2600-000	N/A	1,426.57	1,426.57	1,426.57
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,569.94	1,569.94	1,569.94
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,517.46	1,517.46	1,517.46
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,367.58	1,367.58	1,367.58
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,595.85	1,595.85	1,595.85
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	65.94	65.94	65.94
Other - The Bank of New York Mellon	2600-000	N/A	1,428.60	1,428.60	1,428.60
Other - The Bank of New York Mellon	2600-000	N/A	25.00	25.00	25.00
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	133.01	133.01	133.01
Other - Rabobank, N.A.	2600-000	N/A	942.09	942.09	942.09
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	1,111.46	1,111.46	1,111.46
Other - BRANCHVILLE SELF STORAGE LLC.	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	941.61	941.61	941.61
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	1,009.48	1,009.48	1,009.48
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	1,124.78	1,124.78	1,124.78
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67

Other - Rabobank, N.A.	2600-000	N/A	1,087.77	1,087.77	1,087.77
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	980.95	980.95	980.95
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - JOHN S. PEREIRA	2300-000	N/A	531.13	531.13	531.13
Other - Rabobank, N.A.	2600-000	N/A	1,154.38	1,154.38	1,154.38
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	1,070.61	1,070.61	1,070.61
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	1,134.82	1,134.82	1,134.82
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	1,349.52	1,349.52	1,349.52
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	1,129.50	1,129.50	1,129.50
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	1,149.19	1,149.19	1,149.19
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	116.34	116.34	116.34
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	101.40	101.40	101.40
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	103.38	103.38	103.38
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - FOX, ROTHSCHILD LLP	2990-000	N/A	2,500.00	2,500.00	2,500.00
Other - Rabobank, N.A.	2600-000	N/A	111.50	111.50	111.50
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	104.59	104.59	104.59
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - JOHN S. PEREIRA	2300-000	N/A	41.28	41.28	41.28
Other - Rabobank, N.A.	2600-000	N/A	100.88	100.88	100.88
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	115.37	115.37	115.37
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	101.83	101.83	101.83

Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	111.99	111.99	111.99
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	108.11	108.11	108.11
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	93.93	93.93	93.93
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	100.95	100.95	100.95
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	19.82	19.82	19.82
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	10.20	10.20	10.20
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	11.49	11.49	11.49
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	10.58	10.58	10.58
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	10.07	10.07	10.07
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	111.67	111.67	111.67
Other - Rabobank, N.A.	2600-000	N/A	10.93	10.93	10.93
Other - BRANCHVILLE SELF STORAGE	2410-000	N/A	111.67	111.67	111.67
Other - JOHN S. PEREIRA	2300-000	N/A	4.04	4.04	4.04
Other - Rabobank, N.A.	2600-000	N/A	10.40	10.40	10.40
Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00
Other - BRANCHVILLE SELF STORAGE LLC	2410-000	N/A	223.34	223.34	223.34
Other - Rabobank, N.A.	2600-000	N/A	10.40	10.40	10.40
Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00
Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00
Other - Rabobank, N.A.	2600-000	N/A	10.46	10.46	10.46
Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00
Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00
Other - Rabobank, N.A.	2600-000	N/A	10.38	10.38	10.38
Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00
Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00



Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00
Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00
Other - JOHN S. PEREIRA	2300-000	N/A	1.12	1.12	1.12
Other - Rabobank, N.A.	2600-000	N/A	10.00	10.00	10.00
Other - Rabobank, N.A.	2600-000	N/A	18.53	18.53	18.53
Other - Rabobank, N.A.	2600-000	N/A	17.89	17.89	17.89
Other - Rabobank, N.A.	2600-000	N/A	19.71	19.71	19.71
Other - Rabobank, N.A.	2600-000	N/A	18.45	18.45	18.45
Other - Rabobank, N.A.	2600-000	N/A	19.70	19.70	19.70
Other - Rabobank, N.A.	2600-000	N/A	17.22	17.22	17.22
Other - Rabobank, N.A.	2600-000	N/A	19.03	19.03	19.03
Other - Rabobank, N.A.	2600-000	N/A	17.22	17.22	17.22
Other - Rabobank, N.A.	2600-000	N/A	20.31	20.31	20.31
Other - JOHN S. PEREIRA	2300-000	N/A	5.31	5.31	5.31
Other - Rabobank, N.A.	2600-000	N/A	18.44	18.44	18.44
Other - Rabobank, N.A.	2600-000	N/A	17.79	17.79	17.79
Other - Rabobank, N.A.	2600-000	N/A	20.22	20.22	20.22
Other - Rabobank, N.A.	2600-000	N/A	17.74	17.74	17.74
Other - Rabobank, N.A.	2600-000	N/A	19.54	19.54	19.54
Other - Rabobank, N.A.	2600-000	N/A	18.30	18.30	18.30
Other - Rabobank, N.A.	2600-000	N/A	17.66	17.66	17.66
Other - Rabobank, N.A.	2600-000	N/A	20.07	20.07	20.07
Other - Rabobank, N.A.	2600-000	N/A	17.00	17.00	17.00
Other - Rabobank, N.A.	2600-000	N/A	18.19	18.19	18.19
Other - Rabobank, N.A.	2600-000	N/A	17.56	17.56	17.56
Other - Rabobank, N.A.	2600-000	N/A	19.96	19.96	19.96
Other - JOHN S. PEREIRA	2300-000	N/A	3.13	3.13	3.13
Other - Rabobank, N.A.	2600-000	N/A	17.51	17.51	17.51
Other - Rabobank, N.A.	2600-000	N/A	19.29	19.29	19.29
Other - Rabobank, N.A.	2600-000	N/A	18.65	18.65	18.65
Other - Rabobank, N.A.	2600-000	N/A	9.61	9.61	9.61
Other - Rabobank, N.A.	2600-000	N/A	11.32	11.32	11.32
Other - JOHN S. PEREIRA	2300-000	N/A	63.95	63.95	63.95
<b>TOTAL CHAPTER 7 ADMIN. FEES AND CHARGES</b>		N/A	\$888,264.00	\$885,578.92	\$885,578.92

**EXHIBIT 5 –PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
None					
<b>TOTAL PRIOR CHAPTER ADMIN. FEES AND CHARGES</b>		N/A	\$0.00	\$0.00	\$0.00

**EXHIBIT 6 –PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
1	CITY OF FREDERICKSBURG VIRGINIA	5800-000	N/A	3,167.88	791.97	791.97
2	DALLAS COUNTY	5800-000	N/A	376.17	94.04	94.04
3	SNOHOMISH COUNTY TREASURER	5800-000	N/A	713.67	178.42	178.42
7	TENNESSEE DEPARTMENT OF REVENUE	5800-000	N/A	N/A	0.00	0.00
8	NEW JERSEY DEPT OF LABOR	5800-000	N/A	16,961.48	0.00	0.00
10P	COMMONWEALTH OF MASSACHUSETTS	5800-000	unknown	4,683.98	0.00	0.00
12	SOLANO COUNTY TAX COLLECTOR	5800-000	N/A	676.93	169.23	169.23
14	COMMONWEALTH OF PENNSYLVANIA-PA UC FUND	5800-000	N/A	4,000.00	0.00	0.00
16	TENNESSEE DEPARTMENT OF REVENUE	5800-000	unknown	9,944.51	0.00	0.00
18	NYS DEPT OF TAXATION & FINANCE	5800-000	N/A	1,009.46	0.00	0.00
19	STATE OF CONNECTICUT	5800-000	unknown	250.00	250.00	250.00
22	FRANCHISE TAX BOARD	5800-000	N/A	800.00	800.00	800.00
23	COMMONWEALTH OF PENNSYLVANIA-PA UC FUND	5800-000	N/A	N/A	0.00	0.00
24	NYS DEPT OF TAXATION AND FINANCE	5800-000	unknown	842.86	476.96	476.96
N/A	SAN MARCOS CISD	5800-000	N/A	987.57	987.57	987.57
NOTFILED	Indiana Department of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Hawaii Department of Taxation	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Florida Department of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Colorado Department of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Delaware Division of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Alabama Department of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	State of New Jersey, Division of Taxation	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Louisiana Department of Revenue	5800-000	unknown	N/A	N/A	0.00

NOTFILED	Virginia Department of Taxation	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Texas Comptroller of Public Accounts	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Wisconsin Department of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Maine Revenue Services	5800-000	unknown	N/A	N/A	0.00
NOTFILED	California Franchise Tax Board	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Oregon Refund	5800-000	unknown	N/A	N/A	0.00
NOTFILED	South Carolina Department of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	PA Department of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Comptroller of Maryland	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Kentucky Department of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Michigan Department of Treasury	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Mississippi State Tax Commission	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Minnesota Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Ohio Department of Taxation	5800-000	unknown	N/A	N/A	0.00
NOTFILED	NYC Department of Finance	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Iowa Department of Revenue	5800-000	unknown	N/A	N/A	0.00
NOTFILED	Georgia Department of Revenue Processing Center	5800-000	unknown	N/A	N/A	0.00
NOTFILED	U.S. Department of the Treasury	5800-000	unknown	N/A	N/A	0.00
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>			<b>\$0.00</b>	<b>\$44,414.51</b>	<b>\$3,748.19</b>	<b>\$3,748.19</b>

**EXHIBIT 7 –GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
4	AVAYA, INC.	7100-000	N/A	1,115.05	0.00	0.00
5	CIT TECHNOLOGY FINANCING SERVICES, INC.	7100-000	N/A	14,782.61	14,782.61	1,108.70
6	CIT TECHNOLOGY FINANCING SERVICES, INC.	7100-000	N/A	24,380.37	24,380.37	1,828.53
9	BANK OF AMERICA, N.A.	7100-000	N/A	N/A	0.00	0.00
10U	COMMONWEALTH OF MASSACHUSETTS	7100-000	N/A	55.00	0.00	0.00
13	CENTRAL PARK MARKET HOLDINGS, LLC	7100-000	N/A	107,659.01	107,659.01	8,074.43
17	NYS DEPT OF TAXATION & FINANCE	7100-000	N/A	N/A	0.00	0.00
20	AMERICAN HOME ASSURANCE COMPANY ET.AL.	7200-000	N/A	N/A	0.00	0.00
21	STACEY L. MEISEL, CHAPTER 7 TRUSTEE	7200-000	N/A	7,544.15	286.01	286.01
26	AMERICAN EXPRESS TRAVEL RELATED SERVICES COMPANY,	7200-000	3,398.40	6,164.66	6,164.66	0.00

27	AVAYA INC.	7100-000	N/A	1,115.50	1,115.50	83.63
NOTFILED	OOCL (USA) INC.	7100-000	3,825.00	N/A	N/A	0.00
NOTFILED	NEST WHOLESALERS PTY. LTD.	7100-000	25,741.92	N/A	N/A	0.00
NOTFILED	EILEEN SZWEADA	7100-000	17.55	N/A	N/A	0.00
NOTFILED	RUTH THORNHILL	7100-000	129.08	N/A	N/A	0.00
NOTFILED	JULIE POPE DESIGN	7100-000	1,000.00	N/A	N/A	0.00
NOTFILED	RESTON LLOYD LTD.	7100-000	3,257.66	N/A	N/A	0.00
NOTFILED	MAUREEN MADIGAN	7100-000	153.42	N/A	N/A	0.00
NOTFILED	SEYFARTH, SHAW	7100-000	28,816.25	N/A	N/A	0.00
NOTFILED	LISSA BASKETT	7100-000	1,600.00	N/A	N/A	0.00
NOTFILED	MCI COMM SERVICE	7100-000	432.82	N/A	N/A	0.00
NOTFILED	THE KNOT	7100-000	25,144.98	N/A	N/A	0.00
NOTFILED	JULIE WESTPHAL	7100-000	489.11	N/A	N/A	0.00
NOTFILED	KIRISTEN BATES	7100-000	346.72	N/A	N/A	0.00
NOTFILED	LP INNOVATIONS	7100-000	15,548.00	N/A	N/A	0.00
NOTFILED	LYNN VANI	7100-000	138.70	N/A	N/A	0.00
NOTFILED	291 DIGITAL NEW YORK, LLC	7100-000	1,663.00	N/A	N/A	0.00
NOTFILED	ELYSE ZALES	7100-000	98.09	N/A	N/A	0.00
NOTFILED	MARTHA STEWART LIVING	7100-000	33,017.00	N/A	N/A	0.00
NOTFILED	THOMAS G. HICKEY	7100-000	1,500.00	N/A	N/A	0.00
NOTFILED	SHERYL DUKE	7100-000	205.25	N/A	N/A	0.00
NOTFILED	VERIZON	7100-000	48.38	N/A	N/A	0.00
NOTFILED	YUJIN KREVES	7100-000	72,430.00	N/A	N/A	0.00
NOTFILED	EURO CERAMICA	7100-000	43,594.00	N/A	N/A	0.00
NOTFILED	GODINGER SILVER ART CO LTD	7100-000	20,431.67	N/A	N/A	0.00
NOTFILED	IRWIN SLATER LLC	7100-000	102,662.48	N/A	N/A	0.00
NOTFILED	KENNEX (HONG KONG) LTD.	7100-000	1,500.00	N/A	N/A	0.00
NOTFILED	VIAGGO INCORPORATED	7100-000	3,028.32	N/A	N/A	0.00
NOTFILED	NIKKO	7100-000	213,706.88	N/A	N/A	0.00
NOTFILED	VERIZON	7100-000	642.42	N/A	N/A	0.00
NOTFILED	HOME FURNISHING NEWS	7100-000	14,160.15	N/A	N/A	0.00
NOTFILED	TANGER PROPERTIES LTD.	7100-000	221.82	N/A	N/A	0.00
NOTFILED	COSMOS GIFT CORPORATION	7100-000	66,231.60	N/A	N/A	0.00
NOTFILED	UNITED AIRLINES AIR	7100-000	794.20	N/A	N/A	0.00

NOTFILED	UNITED PARCEL SERVICE	7100-000	32.41	N/A	N/A	0.00
NOTFILED	TYGRIS VENDOR FINANCE INC	7100-000	269.64	N/A	N/A	0.00
NOTFILED	VERIZON WIRELESS	7100-000	70.06	N/A	N/A	0.00
NOTFILED	VERIZON NORTHWEST	7100-000	233.73	N/A	N/A	0.00
NOTFILED	Christine Jensen	7100-000	42.95	N/A	N/A	0.00
NOTFILED	Josiah Wedgwood & Sons Limited	7100-000	336,111.00	N/A	N/A	0.00
NOTFILED	Royal Doulton Canada Limited	7100-000	1,045,743.00	N/A	N/A	0.00
NOTFILED	PT Doulton (Indonesia)	7100-000	215,849.00	N/A	N/A	0.00
NOTFILED	Royal Doulton UK Limited	7100-000	271,021.00	N/A	N/A	0.00
NOTFILED	WC Designs	7100-000	27,114.00	N/A	N/A	0.00
NOTFILED	Waterford Wedgwood USA Inc.	7100-000	340,186.00	N/A	N/A	0.00
NOTFILED	CONDE NAST PUBLICATIONS	7100-000	3,911.20	N/A	N/A	0.00
NOTFILED	ALLISON STOCKUM	7100-000	455.95	N/A	N/A	0.00
NOTFILED	Josiah Wedgwood & Sons Limited	7100-000	66,526.00	N/A	N/A	0.00
NOTFILED	TIME INC.	7100-000	13,348.00	N/A	N/A	0.00
NOTFILED	AMPER POLITZINER & MATTIA, LLP	7100-000	10,000.00	N/A	N/A	0.00
NOTFILED	DESIGN RESEARCH, L.L.C.	7100-000	2,428.28	N/A	N/A	0.00
NOTFILED	DHL EXPRESS (USA) INC.	7100-000	170.79	N/A	N/A	0.00
NOTFILED	FEDEX	7100-000	960.84	N/A	N/A	0.00
NOTFILED	FRONTIER COMMUNICATIONS	7100-000	442.65	N/A	N/A	0.00
NOTFILED	FOREST BARBER, INC.	7100-000	10,000.00	N/A	N/A	0.00
NOTFILED	PETTY CASH - VACAVILLE	7100-000	272.44	N/A	N/A	0.00
NOTFILED	IRENE GOOD	7100-000	377.44	N/A	N/A	0.00
NOTFILED	REGINA BYRNES	7100-000	893.51	N/A	N/A	0.00
NOTFILED	DEBBIE CORRADO	7100-000	247.00	N/A	N/A	0.00
NOTFILED	C.R. GIBSON	7100-000	5,635.96	N/A	N/A	0.00
NOTFILED	Deborah Cuadra	7100-000	432.60	N/A	N/A	0.00
NOTFILED	BROOKE JOLLY	7100-000	190.30	N/A	N/A	0.00
NOTFILED	CONSTANTINO RICHARDS RIZZO LLP	7100-000	2,585.00	N/A	N/A	0.00
NOTFILED	JERSEY SHORE COURIER SERVICE	7100-000	166.08	N/A	N/A	0.00
NOTFILED	CT CORPORATION	7100-000	8,114.36	N/A	N/A	0.00
NOTFILED	DIGITAL MOVERS, LLC	7100-000	7,693.35	N/A	N/A	0.00
NOTFILED	CROFT PUBLIC RELATIONS, INC.	7100-000	86,096.29	N/A	N/A	0.00
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			<b>\$3,143,595.70</b>	<b>\$162,816.35</b>	<b>\$154,388.16</b>	<b>\$11,381.30</b>

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

Case Number: 09-12513-shl

Trustee: (521200) JOHN S. PEREIRA

Case Name: ROYAL DOULTON USA INC.

Filed (f) or Converted (c): 04/23/09 (f)

§341(a) Meeting Date: 06/03/09

Period Ending: 02/04/21

Claims Bar Date: 01/09/10

1	2	3	4	5	6
Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property Abandoned OA=§554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Ref. #					
1	BANK ACCOUNT - BANK OF AMERICA	716,745.00	716,745.00	627,217.95	FA
2	SECURITY DEPOSIT - FLEMINGTON LANDLORD	5,280.00	Unknown	0.00	FA
3	SECURITY DEPOSIT - CENTRAL VALLEY UTILITIES	3,940.00	Unknown	0.00	FA
4	SECURITY DEPOSIT - CENTRAL VALLEY LANDLORD	5,060.00	Unknown	0.00	FA
5	TAX REFUND - CALIFORNIA	800.00	0.00	0.00	FA
6	TAX REFUND - DELAWARE	10.00	0.00	10.05	FA
7	TAX REFUND - FEDERAL	21,447.00	21,447.00	21,447.00	FA
8	TAX REFUND - GEORGIA	90.00	0.00	0.00	FA
9	TAX REFUND - INDIANA	3,250.00	3,250.00	3,249.97	FA
10	TAX REFUND - MAINE	55.00	0.00	55.00	FA
11	TAX REFUND - MARYLAND	49.00	49.00	49.00	FA
12	TAX REFUND - MASSACHUSETTS	620.00	0.00	64.00	FA
13	TAX REFUND - MICHIGAN	1,267.00	0.00	0.00	FA
14	TAX REFUND - NEW JERSEY	6,080.00	6,080.00	4,000.00	FA
15	TAX REFUND - NEW YORK CITY	573.00	0.00	273.00	FA
16	TAX REFUND - NEW YORK STATE CT3M/4M	1.00	0.00	0.00	FA
17	TAX REFUND - NEW YORK STATE	735.00	0.00	0.00	FA
18	TAX REFUND - NORTH CAROLINA	651.00	0.00	54.05	FA
19	TAX REFUND - PENNSYLVANIA - CNI/CS/FF	821.00	821.00	857.00	FA
20	TAX REFUND - TENNESSEE	660.00	0.00	0.00	FA
21	TAX REFUND - VIRGINIA	317.00	317.00	317.00	FA
22	TURNOVER OF SALES TAX ESCROW REFUND (u)	48,662.33	0.00	48,662.33	FA
23	SETTLEMENT - DIGITAL MOVERS, LLC (u)	6,215.37	6,215.37	6,215.37	FA
24	SETTLEMENT - ULINE (u)	5,000.00	5,000.00	5,000.00	FA
25	SETTLEMENT - WASTE MANAGEMENT (u)	3,000.00	0.00	3,000.00	FA
26	SETTLEMENT - CLS FACILITY SERVICES (u)	1,466.35	0.00	1,466.35	FA

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

**Case Number:** 09-12513-shl

**Trustee:** (521200) JOHN S. PEREIRA

**Case Name:** ROYAL DOULTON USA INC.

**Filed (f) or Converted (c):** 04/23/09 (f)

**§341(a) Meeting Date:** 06/03/09

**Period Ending:** 02/04/21

**Claims Bar Date:** 01/09/10

1 Asset Description (Scheduled And Unscheduled (u) Property) Ref. #	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=\$554(a)	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
27 SETTLEMENT - NEW ENGLAND MOTOR FREIGHT INC. (u)	3,000.00	0.00		3,000.00	FA
28 SETTLEMENT - GOOGLE INC. (u)	25,000.00	0.00		25,000.00	FA
29 SETTLEMENT - YRC, INC. fka YELLOW TRANSPORTATION (u) Adv. Pro. No. 11-02409	14,462.48	14,462.48		14,462.48	FA
30 SETTLEMENT - THE ROYAL CROWN DERBY PORCELAIN CO (u) Adv. Pro. No. 11-01767	18,500.00	18,500.00		18,500.00	FA
31 SETTLEMENT - TIENSHEN TABLEWARE LTD. (u) Adv. Pro. No. 11-01768	900.00	900.00		900.00	FA
32 CAPITAL CREDIT REFUND (u)	31.15	0.00		31.15	FA
33 SETTLEMENT - AGILITY LOGISTICS (u) Adv. Pro. No. 11-1761	27,502.77	27,502.77		27,502.77	FA
34 SETTLEMENT - NIKKO COMPANY (u)	100,000.00	0.00		100,000.00	FA
35 MASSMUTUAL REFUND (u)	118.47	0.00		118.47	FA
36 REIMBURSEMENT FROM WATERFORD WEDGWOOD USA INC. (u) reimbursement for payment of mediator in Waterford Wedgwood USA Inc. v. A & J Trading, et al.	2,500.00	2,500.00		1,388.09	FA
37 IREA - ANNUAL RETURNS OF ACCRUED CAPITAL CREDITS (u) Intermountain Rural Electric Association	0.00	0.00		69.43	FA
38 TRANSFER FROM WATERFORD WEDGWOOD USA INC. (u)	22,660.24	22,660.24		23,772.15	FA
Int INTEREST (u)	Unknown	N/A		2,332.99	FA
<b>39 Assets Totals</b> (Excluding unknown values)	<b>\$1,047,470.16</b>	<b>\$846,449.86</b>		<b>\$939,015.60</b>	<b>\$0.00</b>

#### Major Activities Affecting Case Closing:

One of several related cases: Continue with the prosecution of various actions precipitating from the asset purchase agreement for the sale of the Debtor's assets to KPS/WWRD. The two Adversary Proceedings have been consolidated, the documentary discovery phase of pretrial discovery has been substantially completed, and the remaining fact and expert discovery is proceeding.

# Form 1

## Individual Estate Property Record and Report

### Asset Cases

**Case Number:** 09-12513-shl **Trustee:** (521200) JOHN S. PEREIRA  
**Case Name:** ROYAL DOULTON USA INC. **Filed (f) or Converted (c):** 04/23/09 (f)  
**§341(a) Meeting Date:** 06/03/09  
**Period Ending:** 02/04/21 **Claims Bar Date:** 01/09/10

1	2	3	4	5	6
Asset Description (Scheduled And Unscheduled (u) Property)	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property <u>Abandoned</u> OA=\$554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets
Ref. #					

The Trustee's investigation of the Debtors' affairs also identified approximately \$1.5 million in payments to Corporate Partners, a former equity holder in Waterford Wedgwood PLC. The Trustee sued to recover these payments as fraudulent transfers and/or preferences. The Trustee settled this action, with Court approval, for a lump sum payment of \$1 million.

The Trustee's "preference" analysis identified over 100 potential preference defendants who received preferential payments of sufficient size to be actionable. In late 2010 and early 2011, the Trustee sent demand letters to the potential defendants, and recovered approximately \$200,000 in pre-litigation settlements. In April, 2011, the Trustee filed approximately 75 preference actions to recover more than \$8.0 million in preferential transfers. The Trustee is in the process of settling a number of these actions, and has voluntarily dismissed those actions. The remaining adversaries are proceeding with pretrial discovery, while settlement discussions are ongoing on a number of them at the same time. The Trustee has sued Waterford Wedgwood plc and 4 of its affiliates in the United Kingdom, Ireland, and Canada for sums that were reflected as due and owing to the United States debtors on the debtors' books and records. Those lawsuits are being served pursuant to the Hague Convention.

One of the purposes of the Trustee's investigation is to determine whether the debtors have any fraudulent conveyance claims, or other claims arising from the global transaction or the Bank of America loans. In addition, the Trustee and his professionals are investigating whether the debtors have potential fraudulent conveyance claims or preference claims against other parties. The Trustee anticipates commencing additional lawsuits in the near future regarding those transactions.

Waterford Wedgwood USA, Inc., et al., 13 jointly administered Chapter 7 proceedings which were filed on various dates between April 23 and April 27, 2009. The 341 meetings were closed on August 5, 2015.

The debtors were all indirect subsidiaries of Waterford Wedgwood plc, and, among other things, distributed Waterford Wedgwood and Royal Doulton products in the United States. Prior to these bankruptcies, Waterford Wedgwood plc and certain of its overseas affiliates were placed in receivership or administration in England, Ireland and Canada, and the principal assets of the United States debtors were sold as part of a "global transaction: involving all the Waterford assets was an investment fund organized by KPS. KPS purchased the assets through an entity known as WWRD.

Although WWRD purchased substantially all of the Debtors' assets, the asset purchase agreement (the "APA") with the Debtors clearly excluded prepaid items. According to the Trustee's investigation, WWRD received the benefit of approximately \$1.5 million of prepaid items, even though they did not contract to purchase them under the APA. The Trustee initiated an adversary proceeding against WWRD for the prepaids, and then amended the action later on to also sue WWRD on the theory that the entire consideration paid for the U.S. assets was insufficient. Although the Court dismissed the fraudulent conveyance claim as part of a summary judgment motion filed by WWRD, the prepaid action was settled by the Trustee for payment of \$1.2 million dollars.

The Trustee commenced approximately 100 preference and fraudulent conveyance actions, and has resolved all of those actions. The resolution of those actions has brought settlement proceeds in excess of \$3.0 million into the estates. The last action against Eisner Amper was settled on December 15, 2016 for \$60,000.

With respect to claims, now that Eisner Amper settlement is complete, we can resolve the remaining claims. To date, we have made 100% distributions to priority wage claimants, and 100% distribution to the priority tax claimants, as well as an interim distribution to the general unsecured creditors of 7.5%. As of December, 2017, the remaining claims settlements continue. As of October, 2018, the remaining claims issues are being resolved by settlements or objections. When completely resolved, we will be able to file final reports.



**Form 1**  
**Individual Estate Property Record and Report**  
**Asset Cases**

**Case Number:** 09-12513-shl

**Trustee:** (521200) JOHN S. PEREIRA

**Case Name:** ROYAL DOULTON USA INC.

**Filed (f) or Converted (c):** 04/23/09 (f)

**§341(a) Meeting Date:** 06/03/09

**Period Ending:** 02/04/21

**Claims Bar Date:** 01/09/10

1	2	3	4	5	6
Asset Description (Scheduled And Unscheduled (u) Property)  Ref. #	Petition/ Unscheduled Values	Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	Property <u>Abandoned</u> OA=§554(a)	Sale/Funds Received by the Estate	Asset Fully Administered (FA)/ Gross Value of Remaining Assets

**Initial Projected Date Of Final Report (TFR):** December 31, 2011

**Current Projected Date Of Final Report (TFR):** March 13, 2020 (Actual)

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0866 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066

**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/14/12		RABOBANK MIGRATION TRANSFER IN	RABOBANK MIGRATION	9999-000	695,551.28		695,551.28
12/31/12		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		942.09	694,609.19
01/02/13	21020	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	694,497.52
01/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,111.46	693,386.06
02/05/13	21021	BRANCHVILLE SELF STORAGE LLC.	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	693,274.39
02/28/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		941.61	692,332.78
03/06/13	21022	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	692,221.11
03/11/13	{32}	Patronage Refund Account	Capital credit refund	1229-000	31.15		692,252.26
03/29/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,009.48	691,242.78
04/10/13	21023	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	691,131.11
04/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,124.78	690,006.33
05/02/13	21024	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	689,894.66
05/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,087.77	688,806.89
06/06/13	21025	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	688,695.22
06/28/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		980.95	687,714.27
07/08/13	21026	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	687,602.60
07/31/13	21027	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 07/31/2013 FOR CASE #09-12513	2300-000		531.13	687,071.47
07/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,154.38	685,917.09
08/05/13	21028	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	685,805.42
08/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,070.61	684,734.81
09/09/13	21029	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	684,623.14
09/30/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,134.82	683,488.32
10/07/13	21030	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	683,376.65
10/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,349.52	682,027.13
11/05/13	21031	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE	2410-000		111.67	681,915.46

Subtotals : \$695,582.43 \$13,666.97

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0866 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
		LLC	STORAGE FEES				
11/19/13	21032	ESTATE OF WATERFORD WEDGWOOD USA INC.	TRANSFER OF FUNDS [REPAYMENT OF TRUSTEE COMMISSIONS PAID FROM ESTATE OF WATERFORD WEDGWOOD USA INC.]	8500-000		35,171.66	646,743.80
11/29/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,129.50	645,614.30
12/10/13	21033	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	645,502.63
12/20/13		From Account #*****0867	TRANSFER OF FUNDS	9999-000	161,365.25		806,867.88
12/20/13		From Account #*****0868	TRANSFER OF FUNDS	9999-000	8,052.53		814,920.41
12/20/13		To Account #*****0868	TRANSFER OF FUNDS - PER ORDER DATED 12/20/13 [DOC 485]	9999-000		2,025.63	812,894.78
12/20/13	21034	AVAYA, INC.	Dividend paid 6.00% on \$1,115.05; Claim# 4; Filed: \$1,115.05; Reference:	7100-000		24.63	812,870.15
12/20/13	21035	CIT TECHNOLOGY FINANCING SERVICES, INC.	Dividend paid 6.00% on \$14,782.61; Claim# 5; Filed: \$14,782.61; Reference: 9000	7100-000		326.52	812,543.63
12/20/13	21036	CIT TECHNOLOGY FINANCING SERVICES, INC.	Dividend paid 6.00% on \$24,380.37; Claim# 6; Filed: \$24,380.37; Reference: 5000	7100-000		538.52	812,005.11
12/20/13	21037	CENTRAL PARK MARKET HOLDINGS, LLC	Dividend paid 6.00% on \$107,659.01; Claim# 13; Filed: \$107,659.01; Reference:	7100-000		2,377.99	809,627.12
12/20/13	21038	STACEY L. MEISEL, CHAPTER 7 TRUSTEE	Dividend paid 6.00% on \$7,544.15; Claim# 21; Filed: \$7,544.15; Reference: Voided on 03/17/14	7200-000		166.64	809,460.48
12/20/13	21039	JOHN S. PEREIRA	TRUSTEE INTERIM COMMISSION, PER ORDER GRANTING APPLICATIONS FOR INTERIM ALLOWANCE OF COMMISSIONS, DATED 12/20/13	2100-000		3,907.96	805,552.52
12/20/13	21040	TROUTMAN SANDERS LLP	ATTORNEY FOR TRUSTEE INTERIM COMMISSION, PER ORDER GRANTING APPLICATIONS FOR INTERIM ALLOWANCE OF COMMISSIONS, DATED 12/20/13	3210-000		730,420.08	75,132.44
12/20/13	21041	TROUTMAN SANDERS LLP	ATTORNEY FOR TRUSTEE EXPENSES, PER ORDER GRANTING APPLICATIONS FOR REIMBURSEMENT OF EXPENSES, DATED 12/20/13	3220-000		1,461.30	73,671.14
12/31/13		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		1,149.19	72,521.95
01/09/14	21042	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	72,410.28
01/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		116.34	72,293.94

Subtotals :                      \$169,417.78                      \$779,039.30

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0866 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
02/10/14	21043	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	72,182.27
02/11/14	{35}	MassMutual Financial Group	Refund.	1229-000	118.47		72,300.74
02/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		101.40	72,199.34
03/11/14	21044	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	72,087.67
03/17/14	21038	STACEY L. MEISEL, CHAPTER 7 TRUSTEE	Dividend paid 6.00% on \$7,544.15; Claim# 21; Filed: \$7,544.15; Reference: Voided: check issued on 12/20/13	7200-000		-166.64	72,254.31
03/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		103.38	72,150.93
04/08/14	21045	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	72,039.26
04/08/14	21046	FOX, ROTHSCHILD LLP	PAYMENT FOR RETAINER OF MEDIATOR	2990-000		2,500.00	69,539.26
04/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		111.50	69,427.76
05/01/14	{36}	Fox Rothschild LLP	Refund of balance of retainer for mediator.	1229-000	1,388.09		70,815.85
05/05/14	21047	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	70,704.18
05/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		104.59	70,599.59
06/03/14	21048	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	70,487.92
06/11/14	21049	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/11/2014 FOR CASE #09-12513	2300-000		41.28	70,446.64
06/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		100.88	70,345.76
07/09/14	21050	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	70,234.09
07/11/14	{38}	Estate of Waterford Wedgwood USA Inc.	Reimbursement for payment of retainer of mediator.	1290-000	1,111.91		71,346.00
07/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		115.37	71,230.63
08/05/14	21051	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	71,118.96
08/29/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		101.83	71,017.13
09/04/14	21052	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	70,905.46
09/30/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		111.99	70,793.47
10/02/14	21053	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	70,681.80
10/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		108.11	70,573.69

Subtotals : \$2,618.47 \$4,338.72

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0866 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/04/14	21054	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	70,462.02
11/28/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		93.93	70,368.09
12/03/14	21055	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	70,256.42
12/16/14	21056	AVAYA, INC.	Dividend paid 7.50% on \$1,115.05; Claim# 4; Filed: \$1,115.05; Reference:	7100-000		16.73	70,239.69
12/16/14	21057	CIT TECHNOLOGY FINANCING SERVICES, INC.	Dividend paid 7.50% on \$14,782.61; Claim# 5; Filed: \$14,782.61; Reference: 9000	7100-000		221.74	70,017.95
12/16/14	21058	CIT TECHNOLOGY FINANCING SERVICES, INC.	Dividend paid 7.50% on \$24,380.37; Claim# 6; Filed: \$24,380.37; Reference: 5000	7100-000		365.71	69,652.24
12/16/14	21059	CENTRAL PARK MARKET HOLDINGS, LLC	Dividend paid 7.50% on \$107,659.01; Claim# 13; Filed: \$107,659.01; Reference: Stopped on 07/30/15	7100-001		1,614.89	68,037.35
12/17/14		From Account #*****0868	TRANSFER OF FUNDS - PER ORDER DATED 12/15/14, \$1,233.66 TO BE HELD IN RESERVE ACCOUNT	9999-000	791.97		68,829.32
12/17/14	21060	JOHN S. PEREIRA	TRUSTEE INTERIM COMMISSIONS PER ORDER GRANTING APPLICATIONS FOR INTERIM COMMISSIONS DATED 12/16/14 Voided on 12/17/14	2100-000		554.77	68,274.55
12/17/14	21060	JOHN S. PEREIRA	TRUSTEE INTERIM COMMISSIONS PER ORDER GRANTING APPLICATIONS FOR INTERIM COMMISSIONS DATED 12/16/14 Voided: check issued on 12/17/14	2100-000		-554.77	68,829.32
12/17/14	21061	ESTATE OF WATERFORD WEDGWOOD USA, INC.	TRANSFER OF FUNDS TO PAY ADMINISTRATIVE FEES AND EXPENSES Voided on 12/17/14	8500-000		63,274.55	5,554.77
12/17/14	21061	ESTATE OF WATERFORD WEDGWOOD USA, INC.	TRANSFER OF FUNDS TO PAY ADMINISTRATIVE FEES AND EXPENSES Voided: check issued on 12/17/14	8500-000		-63,274.55	68,829.32
12/17/14	21062	JOHN S. PEREIRA	TRUSTEE INTERIM COMMISSIONS PER ORDER GRANTING APPLICATIONS FOR INTERIM COMMISSIONS DATED 12/16/14	2100-000		19,565.46	49,263.86
12/17/14	21063	KEVIN M. COFFEY, LLC	ACCOUNTANT FOR TRUSTEE INTERIM FEES, PER ORDER GRANTING INTERIM APPLICATIONS DATED 12/16/14	3410-000		44,263.86	5,000.00
12/31/14		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		100.95	4,899.05
01/15/15	21064	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE	2410-000		111.67	4,787.38

Subtotals : \$791.97 \$66,578.28

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0866 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
		LLC	STORAGE FEES				
01/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		19.82	4,767.56
02/04/15	21065	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	4,655.89
02/27/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.20	4,645.69
03/10/15	21066	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	4,534.02
03/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		11.49	4,522.53
04/07/15	21067	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	4,410.86
04/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.58	4,400.28
05/28/15	21068	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	4,288.61
05/29/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.07	4,278.54
06/09/15	21069	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	4,166.87
06/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.93	4,155.94
07/15/15	21070	BRANCHVILLE SELF STORAGE	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		111.67	4,044.27
07/22/15	21071	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 07/22/2015 FOR CASE #09-12513	2300-000		4.04	4,040.23
07/30/15	21059	CENTRAL PARK MARKET HOLDINGS, LLC	Dividend paid 7.50% on \$107,659.01; Claim# 13; Filed: \$107,659.01; Reference: Stopped: check issued on 12/16/14	7100-001		-1,614.89	5,655.12
07/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.40	5,644.72
08/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	5,634.72
09/08/15	21072	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		223.34	5,411.38
09/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.40	5,400.98
10/01/15	21073	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES Voided on 10/29/15	2410-000		111.67	5,289.31
10/29/15	21073	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES Voided: check issued on 10/01/15	2410-000		-111.67	5,400.98
10/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	5,390.98
11/30/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	5,380.98

Subtotals : \$0.00 \$-593.60

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0866 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/31/15		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.46	5,370.52
01/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	5,360.52
03/01/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	5,350.52
03/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.38	5,340.14
04/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	5,330.14
05/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	5,320.14
06/02/16	21074	MORGAN, LEWIS & BOCKIUS LLP	INVOICE NO. 3195619 / ESTATE'S PORTION OF PAYMENT FOR SERVICES OF MEDIATOR IN ACTION AGAINST VANDERGRIFF FORWARDING COMPANY	3721-000		4,250.00	1,070.14
08/22/16	21075	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 08/22/2016 FOR CASE #09-12513	2300-000		1.12	1,069.02
08/25/16	{1}	Bank of America	Turnover of funds in debtor's bank accounts [ending 0212, 0384, 2552, 1209, 1410]	1129-000	10,648.98		11,718.00
08/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	11,708.00
09/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		18.53	11,689.47
10/31/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.89	11,671.58
11/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		19.71	11,651.87
12/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		18.45	11,633.42
01/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		19.70	11,613.72
02/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.22	11,596.50
03/07/17		To Account #*****0868	TRANSFER OF FUNDS (BANK FEES TAKEN FROM DISPUTED CLAIMS ACCOUNT INSTEAD OF CHECKING ACCOUNT)	9999-000		20.00	11,576.50
03/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		19.03	11,557.47
04/11/17	{37}	Patronage Refund Account, Intermountain Rural Electric	Annual returns of accrued capital credits.	1229-000	69.43		11,626.90
04/28/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.22	11,609.68
05/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		20.31	11,589.37
06/19/17	21076	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/19/2017 FOR CASE #09-12513	2300-000		5.31	11,584.06
06/30/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		18.44	11,565.62
07/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.79	11,547.83
08/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		20.22	11,527.61
09/29/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.74	11,509.87
10/31/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		19.54	11,490.33

Subtotals :                      \$10,718.41                      \$4,609.06

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0866 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
11/30/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		18.30	11,472.03
12/29/17		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.66	11,454.37
01/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		20.07	11,434.30
02/28/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.00	11,417.30
03/30/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		18.19	11,399.11
04/30/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.56	11,381.55
05/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		19.96	11,361.59
06/29/18	21077	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/29/2018 FOR CASE #09-12513	2300-000		3.13	11,358.46
06/29/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		17.51	11,340.95
07/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		19.29	11,321.66
08/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		18.65	11,303.01
09/28/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		9.61	11,293.40
10/31/18		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		11.32	11,282.08
03/28/19	{38}	Estate of Waterford Wedgwood USA Inc.	Transfer to related account	1290-000	22,660.24		33,942.32
06/27/19	21078	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/27/2019 FOR CASE #09-12513	2300-000		63.95	33,878.37
09/19/19		From Account #*****0868	TRANSFER OF FUNDS	9999-000	1,233.66		35,112.03
09/20/19	21079	CLERK OF THE COURT	TURNOVER OF UNCLAIMED DIVIDENDS [CLAIM #13: CENTRAL PARK MARKET HOLDINGS, LLC]	7100-000		1,614.89	33,497.14
10/02/19	21080	ESTATE OF WATERFORD WEDGWOOD USA INC.	TRANSFER TO RELATED ACCOUNT	8500-000		158.97	33,338.17
12/10/19	21081	ESTATE OF WATERFORD WEDGWOOD USA INC.	TRANSFER TO RELATED ACCOUNT	8500-000		2,740.46	30,597.71
06/23/20	21082	M.W. SCHOFIELD	Dividend paid 100.00% on \$236.10; Claim# 15; Filed: \$236.10; Reference: 099302-99 Voided on 08/06/20	4220-001		236.10	30,361.61
06/23/20	21083	COMMONWEALTH OF MASSACHUSETTS	Dividend paid 100.00% on \$456.00, Other State or Local Taxes (post-petition, incl. post-petition real est. taxes); Reference:	2820-000		456.00	29,905.61
06/23/20	21084	JOHN S. PEREIRA	Dividend paid 100.00% on \$50,200.78, Trustee Compensation; Reference:	2100-000		26,727.36	3,178.25
06/23/20	21085	JOHN S. PEREIRA	Dividend paid 100.00% on \$230.06, Trustee Expenses; Reference:	2200-000		230.06	2,948.19

Subtotals : \$23,893.90 \$32,436.04



## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0866 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
06/23/20	21086	CITY OF FREDERICKSBURG VIRGINIA	Dividend paid 100.00% on \$791.97; Claim# 1; Filed: \$3,167.88; Reference:	5800-000		791.97	2,156.22
06/23/20	21087	DALLAS COUNTY	Dividend paid 100.00% on \$94.04; Claim# 2; Filed: \$376.17; Reference: Voided on 09/04/20	5800-001		94.04	2,062.18
06/23/20	21088	SNOHOMISH COUNTY TREASURER	Dividend paid 100.00% on \$178.42; Claim# 3; Filed: \$713.67; Reference:	5800-000		178.42	1,883.76
06/23/20	21089	SOLANO COUNTY TAX COLLECTOR	Dividend paid 100.00% on \$169.23; Claim# 12; Filed: \$676.93; Reference:	5800-000		169.23	1,714.53
06/23/20	21090	STATE OF CONNECTICUT	Dividend paid 100.00% on \$250.00; Claim# 19; Filed: \$250.00; Reference:	5800-000		250.00	1,464.53
06/23/20	21091	NYS DEPT OF TAXATION AND FINANCE	Dividend paid 100.00% on \$476.96; Claim# 24; Filed: \$842.86; Reference: B-13-5570066-C-5	5800-000		476.96	987.57
06/23/20	21092	SAN MARCOS CISD	Dividend paid 100.00% on \$987.57; Claim# N/A; Filed: \$987.57; Reference:	5800-000		987.57	0.00
08/06/20	21082	M.W. SCHOFIELD	Dividend paid 100.00% on \$236.10; Claim# 15; Filed: \$236.10; Reference: 099302-99 Voided: check issued on 06/23/20	4220-001		-236.10	236.10
09/04/20	21087	DALLAS COUNTY	Dividend paid 100.00% on \$94.04; Claim# 2; Filed: \$376.17; Reference: Voided: check issued on 06/23/20	5800-001		-94.04	330.14
11/19/20	21093	CLERK OF THE COURT	TURNOVER OF UNCLAIMED DIVIDENDS [CLAIM #2 and CLAIM #15]			330.14	0.00
			Dividend of 100% to 94.04 Claim #2	5800-000			0.00
			Dividend of 100% to 236.10 Claim #15	4220-000			0.00

<b>ACCOUNT TOTALS</b>	<b>903,022.96</b>	<b>903,022.96</b>	<b>\$0.00</b>
Less: Bank Transfers	866,994.69	2,045.63	
<b>Subtotal</b>	<b>36,028.27</b>	<b>900,977.33</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$36,028.27</b>	<b>\$900,977.33</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0868 - Disputed Claims Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/14/12		RABOBANK MIGRATION TRANSFER IN	RABOBANK MIGRATION	9999-000	8,052.53		8,052.53
12/20/13		From Account #*****0866	TRANSFER OF FUNDS - PER ORDER DATED 12/20/13 [DOC 485]	9999-000	2,025.63		10,078.16
12/20/13		To Account #*****0866	TRANSFER OF FUNDS	9999-000		8,052.53	2,025.63
12/17/14		To Account #*****0866	TRANSFER OF FUNDS - PER ORDER DATED 12/15/14, \$1,233.66 TO BE HELD IN RESERVE ACCOUNT	9999-000		791.97	1,233.66
06/30/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	1,223.66
07/29/16		Rabobank, N.A.	Bank and Technology Services Fee	2600-000		10.00	1,213.66
03/07/17		From Account #*****0866	TRANSFER OF FUNDS (BANK FEES TAKEN FROM DISPUTED CLAIMS ACCOUNT INSTEAD OF CHECKING ACCOUNT)	9999-000	20.00		1,233.66
09/19/19		To Account #*****0866	TRANSFER OF FUNDS	9999-000		1,233.66	0.00

<b>ACCOUNT TOTALS</b>	<b>10,098.16</b>	<b>10,098.16</b>	<b>\$0.00</b>
Less: Bank Transfers	10,098.16	10,078.16	
<b>Subtotal</b>	<b>0.00</b>	<b>20.00</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$0.00</b>	<b>\$20.00</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** Mechanics Bank  
**Account:** \*\*\*\*\*0867 - Escrow Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
03/06/13	{29}	YRC Worldwide, Inc.	Payment in settlement of Trustee's preference claim.	1241-000	14,462.48		14,462.48
03/06/13	{31}	Leech Tishman Fuscaldo & Lampl, LLC	Payment in settlement of Trustee's preference claim against Tien Shen Tableware.	1241-000	900.00		15,362.48
03/06/13	{30}	Leech Tishman Fuscaldo & Lampl, LLC	Payment in settlement of Trustee's preference claim against The Royal Crown Derby Porcelain Company.	1241-000	18,500.00		33,862.48
08/09/13	{33}	Agility Logistics Corp.	Settlement of Trustee's preference claim.	1241-000	27,502.77		61,365.25
09/09/13	{34}	Nikko Company	Payment in settlement of Trustee's preference claim.	1241-000	100,000.00		161,365.25
12/20/13		To Account #*****0866	TRANSFER OF FUNDS	9999-000		161,365.25	0.00

<b>ACCOUNT TOTALS</b>	<b>161,365.25</b>	<b>161,365.25</b>	<b>\$0.00</b>
Less: Bank Transfers	0.00	161,365.25	
<b>Subtotal</b>	<b>161,365.25</b>	<b>0.00</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$161,365.25</b>	<b>\$0.00</b>	

## Form 2

### Cash Receipts And Disbursements Record

Case Number: 09-12513-shl

Case Name: ROYAL DOULTON USA INC.

Taxpayer ID #: \*\*-\*\*\*0066

Period Ending: 02/04/21

Trustee: JOHN S. PEREIRA (521200)

Bank Name: The Bank of New York Mellon

Account: \*\*\*\*-\*\*\*\*\*61-65 - Checking Account

Blanket Bond: \$58,367,734.00 (per case limit)

Separate Bond: N/A

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
04/06/10		Wire in from JPMorgan Chase Bank, N.A. account *****6165	Wire in from JPMorgan Chase Bank, N.A. account *****6165	9999-000	696,599.89		696,599.89
04/30/10	Int	The Bank of New York Mellon	Interest posting at 0.2500%	1270-000	119.28		696,719.17
05/12/10	{15}	Commissioner of Finance, City of New York	General corporation tax refund for year 2008	1124-000	273.00		696,992.17
05/28/10	Int	The Bank of New York Mellon	Interest posting at 0.2500%	1270-000	147.97		697,140.14
06/30/10	Int	The Bank of New York Mellon	Interest posting at 0.2500%	1270-000	143.26		697,283.40
07/02/10	11001	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 07/02/2010 FOR CASE #09-12513	2300-000		413.05	696,870.35
07/30/10	Int	The Bank of New York Mellon	Interest posting at 0.2500%	1270-000	148.01		697,018.36
08/31/10	Int	The Bank of New York Mellon	Interest posting at 0.2500%	1270-000	148.00		697,166.36
09/13/10		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		4,400.00	692,766.36
09/30/10	Int	The Bank of New York Mellon	Interest posting at 0.0500%	1270-000	28.54		692,794.90
10/29/10	Int	The Bank of New York Mellon	Interest posting at 0.0500%	1270-000	29.40		692,824.30
11/15/10	{18}	NC Department of Revenue	Franchise tax refund	1124-000	54.05		692,878.35
11/30/10	Int	The Bank of New York Mellon	Interest posting at 0.0500%	1270-000	28.46		692,906.81
12/31/10	Int	The Bank of New York Mellon	Interest posting at 0.0500%	1270-000	29.40		692,936.21
01/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0500%	1270-000	29.41		692,965.62
02/28/11	Int	The Bank of New York Mellon	Interest posting at 0.0500%	1270-000	26.57		692,992.19
03/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0500%	1270-000	29.41		693,021.60
04/29/11	Int	The Bank of New York Mellon	Interest posting at 0.0500%	1270-000	28.48		693,050.08
05/10/11		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		131.44	692,918.64
05/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0500%	1270-000	29.41		692,948.05
06/14/11		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		65.72	692,882.33
06/30/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	5.68		692,888.01
07/07/11		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		65.72	692,822.29
07/29/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	5.87		692,828.16
08/01/11		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,328.73	691,499.43
08/04/11		The Bank of New York Mellon	Bank and Technology Services Fee Adjustment	2600-000		-1,328.73	692,828.16
08/08/11		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		66.16	692,762.00
08/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	5.87		692,767.87

Subtotals : \$697,909.96 \$5,142.09

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** The Bank of New York Mellon  
**Account:** \*\*\*\*\_\*\*\*\*\*61-65 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
09/14/11		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		65.72	692,702.15
09/30/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	5.68		692,707.83
10/03/11		From Account #*****6167	TRANSFER OF FUNDS TO MONEY MARKET ACCOUNT	9999-000	18,251.22		710,959.05
10/03/11		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		65.94	710,893.11
10/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	6.02		710,899.13
10/31/11		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,360.87	709,538.26
11/09/11		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		65.94	709,472.32
11/30/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	5.81		709,478.13
11/30/11		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,555.24	707,922.89
12/13/11		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		3,148.00	704,774.89
12/28/11		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		77.94	704,696.95
12/30/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	5.98		704,702.93
12/30/11		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,451.17	703,251.76
01/03/12		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		25.00	703,226.76
01/10/12		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		200.00	703,026.76
01/12/12		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		65.94	702,960.82
01/31/12	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	5.96		702,966.78
01/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,537.00	701,429.78
02/01/12		To Account #*****6166	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000		25.00	701,404.78
02/08/12	11002	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	701,338.84
02/29/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,389.39	699,949.45
03/05/12	11003	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	699,883.51
03/09/12	{28}	Google, Inc.	Payment in settlement of adversary proceeding.	1249-000	25,000.00		724,883.51
03/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,470.11	723,413.40
04/10/12	11004	BRANCHVILLE SELF STORAGE	SPACE: 236 / MONTHLY FILE STORAGE	2410-000		65.94	723,347.46

Subtotals : \$43,280.67 \$12,701.08

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** The Bank of New York Mellon  
**Account:** \*\*\*\*\_\*\*\*\*\*61-65 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
		LLC	FEES				
04/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,432.98	721,914.48
05/03/12	11005	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	721,848.54
05/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,627.40	720,221.14
06/06/12	11006	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	720,155.20
06/22/12	11007	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 06/22/2012 FOR CASE #09-12513	2300-000		438.11	719,717.09
06/29/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,426.57	718,290.52
07/03/12	11008	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	718,224.58
07/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,569.94	716,654.64
08/06/12	11009	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	716,588.70
08/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,517.46	715,071.24
09/10/12	11010	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	715,005.30
09/28/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,367.58	713,637.72
10/04/12	11011	FRANCHISE TAX BOARD	Dividend paid 100% on \$800.00; Claim# 22; Filed: \$800.00; Reference: 2930	5800-000		800.00	712,837.72
10/04/12	11012	AVAYA, INC.	Dividend paid 3.79086% on \$1,115.05; Claim# 4; Filed: \$1,115.05; Reference	7100-000		42.27	712,795.45
10/04/12	11013	CIT TECHNOLOGY FINANCING SERVICES, INC.	Dividend paid 3.79086% on \$14,782.61; Claim# 5; Filed: \$14,782.61; Reference: 9000	7100-000		560.44	712,235.01
10/04/12	11014	CIT TECHNOLOGY FINANCING SERVICES, INC.	Dividend paid 3.79086% on \$24,380.37; Claim# 6; Filed: \$24,380.37; Reference: 50	7100-000		924.30	711,310.71
10/04/12	11015	CENTRAL PARK MARKET HOLDINGS, LLC	Dividend paid 3.79086% on \$107,659.01; Claim# 13; Filed: \$107,659.01; Reference:	7100-000		4,081.55	707,229.16
10/04/12	11016	STACEY L. MEISEL, CHAPTER 7 TRUSTEE	Dividend paid 3.79086% on \$7,544.15; Claim# 21; Filed: \$7,544.15; Reference:	7200-000		286.01	706,943.15
10/11/12	11017	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	706,877.21
10/16/12		To Account #*****6168	TRANSFER OF FUNDS - DISPUTED /UNRESOLVED UNSECURED AND PRIORITY TAX CLAIMS PER ORDER DATED 10/2/12	9999-000		8,102.53	698,774.68

Subtotals : \$0.00 \$24,572.78

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** The Bank of New York Mellon  
**Account:** \*\*\*\*\_\*\*\*\*\*61-65 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,595.85	697,178.83
11/13/12	11018	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	697,112.89
11/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		1,428.60	695,684.29
12/06/12	11019	BRANCHVILLE SELF STORAGE LLC	SPACE: 235 and 236 / MONTHLY FILE STORAGE FEES	2410-000		133.01	695,551.28
12/13/12		RABOBANK MIGRATION TRANSFER OUT	TRANSFER TO 0001052120088 20121213	9999-000		695,551.28	0.00

<b>ACCOUNT TOTALS</b>	<b>741,190.63</b>	<b>741,190.63</b>	<b>\$0.00</b>
Less: Bank Transfers	714,851.11	712,122.33	
<b>Subtotal</b>	<b>26,339.52</b>	<b>29,068.30</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$26,339.52</b>	<b>\$29,068.30</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** The Bank of New York Mellon  
**Account:** \*\*\*\*\_\*\*\*\*\*61-67 - Escrow Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
01/28/11	{23}	Digital Movers, LLC	Settlement of preference claim against Digital Movers	1241-000	6,215.37		6,215.37
02/28/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.04		6,215.41
03/08/11	{24}	Uline	Settlement of Trustee's preference claim against Uline	1241-000	5,000.00		11,215.41
03/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.08		11,215.49
04/12/11	{25}	Estate of Waterford Wedgwood USA, Inc.	Settlement of Trustee's preference claim against Waste Management, deposited in error in wrong case.	1249-000	3,000.00		14,215.49
04/28/11	{26}	CLS Facility Services	Settlement of Trustee's preference claim	1249-000	1,466.35		15,681.84
04/29/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.10		15,681.94
05/03/11	{27}	New England Motor Freight Inc.	Settlement of the Trustee's preference claim	1249-000	3,000.00		18,681.94
05/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.15		18,682.09
06/30/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.15		18,682.24
07/08/11	1001	JOHN S. PEREIRA	BOND PREMIUM PAYMENT ON LEDGER BALANCE AS OF 07/08/2011 FOR CASE #09-12513	2300-000		431.45	18,250.79
07/29/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.15		18,250.94
08/01/11		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		35.50	18,215.44
08/04/11		The Bank of New York Mellon	Bank and Technology Services Fee Adjustment	2600-000		-35.50	18,250.94
08/31/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.14		18,251.08
09/30/11	Int	The Bank of New York Mellon	Interest posting at 0.0100%	1270-000	0.14		18,251.22
10/03/11	Int	The Bank of New York Mellon	Current Interest Rate is 0.0100%	1270-000	0.00		18,251.22
10/03/11		To Account #*****6165	TRANSFER OF FUNDS TO MONEY MARKET ACCOUNT	9999-000		18,251.22	0.00

<b>ACCOUNT TOTALS</b>	<b>18,682.67</b>	<b>18,682.67</b>	<b>\$0.00</b>
Less: Bank Transfers	0.00	18,251.22	
<b>Subtotal</b>	<b>18,682.67</b>	<b>431.45</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$18,682.67</b>	<b>\$431.45</b>	



## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** The Bank of New York Mellon  
**Account:** \*\*\*\*\_\*\*\*\*\*61-68 - Disputed Claims Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*\_\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
10/16/12		From Account #*****6165	TRANSFER OF FUNDS - DISPUTED /UNRESOLVED UNSECURED AND PRIORITY TAX CLAIMS PER ORDER DATED 10/2/12	9999-000	8,102.53		8,102.53
10/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	8,077.53
11/30/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	8,052.53
12/13/12		RABOBANK MIGRATION TRANSFER OUT	TRANSFER TO 0001052120088 20121213	9999-000		8,052.53	0.00

<b>ACCOUNT TOTALS</b>	<b>8,102.53</b>	<b>8,102.53</b>	<b>\$0.00</b>
Less: Bank Transfers	8,102.53	8,052.53	
<b>Subtotal</b>	<b>0.00</b>	<b>50.00</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$0.00</b>	<b>\$50.00</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** The Bank of New York Mellon  
**Account:** \*\*\*\*\_\*\*\*\*\*61-66 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
09/13/10		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	4,400.00		4,400.00
09/13/10	101	SYDNEY BULL	INVOICE NO. 0349 / FOR SERVICES RENDERED [ACCOUNTS PAYABLE HISTORY FOR SPECIFIED FORMER VENDORS]	2990-000		4,400.00	0.00
05/10/11		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	131.44		131.44
05/10/11	102	KEVIN M. COFFEY LLC	REIMBURSEMENT OF EXPENSES [FIRST PAYMENT OF STORAGE BILL]	2410-000		65.72	65.72
05/10/11	103	BRANCHVILLE SELF STORAGE LLC.	SPACE(S): 236 / MONTHLY FILE STORAGE FEES	2410-000		65.72	0.00
06/14/11		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	65.72		65.72
06/14/11	104	BRANCHVILLE SELF STORAGE LLC	SPACE(S): 236 / MONTHLY FILE STORAGE FEES	2410-000		65.72	0.00
07/07/11		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	65.72		65.72
07/07/11	105	BRANCHVILLE SELF STORAGE LLC	SPACE(S): 236 / MONTHLY FILE STORAGE FEES	2410-000		65.72	0.00
08/08/11		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	66.16		66.16
08/08/11	106	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		66.16	0.00
09/14/11		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	65.72		65.72
09/14/11	107	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.72	0.00
10/03/11		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	65.94		65.94
10/03/11	108	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	0.00
11/09/11		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	65.94		65.94
11/09/11	109	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY FILE STORAGE FEES	2410-000		65.94	0.00
12/13/11		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	3,148.00		3,148.00
12/13/11	110	PROCESS FORWARDING	PAYMENT FOR THE SERVICE OF	2990-000		2,448.00	700.00

Subtotals :                      \$8,074.64                      \$7,374.64

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** The Bank of New York Mellon  
**Account:** \*\*\*\*\_\*\*\*\*\*61-66 - Checking Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
		INTERNATIONAL	PROCESS IN TAIWAN UPON DEFENDANT HAO YU ENTERPRISES				
12/13/11	111	PROCESS FORWARDING INTERNATIONAL	PAYMENT FOR JAPANESE TRANSLATION OF DOCUMENTS FOR SERVICE UPON DEFENDANT NIKKO COMPANY	2990-000		700.00	0.00
12/28/11		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	77.94		77.94
12/28/11	112	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY STORAGE FEES	2410-000		77.94	0.00
12/30/11		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	-25.00
01/03/12		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	25.00		0.00
01/10/12		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	200.00		200.00
01/10/12	113	PROCESS FORWARDING INTERNATIONAL	PAYMENT FOR TRANSLATION OF DOCUMENTS FOR SERVICE UPON DEFENDANT NIKKO COMPANY PAYMENT FOR JAPANESE TRANSLATION OF DOCUMENTS FOR SERVICE UPON DEFENDANT HAO YU ENTERPRISE	2990-000		200.00	0.00
01/12/12		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	65.94		65.94
01/12/12	114	BRANCHVILLE SELF STORAGE LLC	SPACE: 236 / MONTHLY STORAGE FEES	2410-000		65.94	0.00
01/31/12		The Bank of New York Mellon	Bank and Technology Services Fee	2600-000		25.00	-25.00
02/01/12		From Account #*****6165	TRANSFER OF FUNDS TO CHECKING ACCOUNT	9999-000	25.00		0.00

<b>ACCOUNT TOTALS</b>	<b>8,468.52</b>	<b>8,468.52</b>	<b>\$0.00</b>
Less: Bank Transfers	8,468.52	0.00	
<b>Subtotal</b>	<b>0.00</b>	<b>8,468.52</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$0.00</b>	<b>\$8,468.52</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** JPMORGAN CHASE BANK, N.A.  
**Account:** \*\*\*-\*\*\*\*\*61-65 - Money Market Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1 Trans. Date	2 {Ref #} / Check #	3 Paid To / Received From	4 Description of Transaction	T-Code	5 Receipts \$	6 Disbursements \$	7 Money Market Account Balance
06/05/09	{1}	Bank of America	Turnover of funds in bank account	1129-000	616,568.97		616,568.97
06/30/09	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	91.06		616,660.03
07/31/09	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	130.75		616,790.78
08/31/09	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	130.78		616,921.56
09/30/09	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	126.59		617,048.15
10/30/09	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	126.61		617,174.76
11/30/09	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	130.86		617,305.62
12/14/09	{22}	William J. Robedee	Turnover of funds from Debtor's sales tax escrow refund account	1229-000	48,662.33		665,967.95
12/31/09	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	136.55		666,104.50
01/15/10	{7}	United States Treasury	Tax refund	1124-000	21,447.00		687,551.50
01/29/10	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	133.59		687,685.09
02/11/10	{9}	Auditor of State of Indiana	Tax refund for the period ending 3/31/2009	1124-000	3,249.97		690,935.06
02/16/10	{6}	State of Delaware	Revenue refund - for the period 3/31/2009	1124-000	10.05		690,945.11
02/19/10	{11}	State of Maryland	Tax refund	1124-000	49.00		690,994.11
02/23/10	{10}	State of Maine	2008 corporate income tax refund	1124-000	55.00		691,049.11
02/26/10	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	131.95		691,181.06
03/01/10	{21}	Commonwealth of Virginia	Tax refund	1124-000	317.00		691,498.06
03/01/10	{14}	State of New Jersey	Corporation business tax refund - 2008 (period: 4/08 - 3/09)	1124-000	4,000.00		695,498.06
03/03/10	{12}	The Commonwealth of Massachusetts	Corporate tax refund	1124-000	64.00		695,562.06
03/08/10	{19}	Commonwealth of Pennsylvania	Tax refund	1124-000	857.00		696,419.06
03/31/10	Int	JPMORGAN CHASE BANK, N.A.	Interest posting at 0.2500%	1270-000	157.01		696,576.07
04/06/10	Int	JPMORGAN CHASE BANK, N.A.	Current Interest Rate is 0.2500%	1270-000	23.82		696,599.89
04/06/10		Wire out to BNYM account *****6165	Wire out to BNYM account *****6165	9999-000	-696,599.89		0.00

<b>ACCOUNT TOTALS</b>	<b>0.00</b>	<b>0.00</b>	<b>\$0.00</b>
Less: Bank Transfers	-696,599.89	0.00	
<b>Subtotal</b>	<b>696,599.89</b>	<b>0.00</b>	
Less: Payments to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$696,599.89</b>	<b>\$0.00</b>	

## Form 2

### Cash Receipts And Disbursements Record

**Case Number:** 09-12513-shl  
**Case Name:** ROYAL DOULTON USA INC.

**Trustee:** JOHN S. PEREIRA (521200)  
**Bank Name:** JPMORGAN CHASE BANK, N.A.  
**Account:** \*\*\*-\*\*\*\*\*61-65 - Money Market Account  
**Blanket Bond:** \$58,367,734.00 (per case limit)  
**Separate Bond:** N/A

**Taxpayer ID #:** \*\*-\*\*\*0066  
**Period Ending:** 02/04/21

1	2	3	4		5	6	7
Trans. Date	{Ref #} / Check #	Paid To / Received From	Description of Transaction	T-Code	Receipts \$	Disbursements \$	Money Market Account Balance

Net Receipts : 939,015.60

Net Estate : \$939,015.60

	Net Receipts	Net Disbursements	Account Balances
<b>TOTAL - ALL ACCOUNTS</b>			
Checking # *****0866	36,028.27	900,977.33	0.00
Checking # *****0868	0.00	20.00	0.00
Checking # *****0867	161,365.25	0.00	0.00
Checking # ****-*****61-65	26,339.52	29,068.30	0.00
Checking # ****-*****61-67	18,682.67	431.45	0.00
Checking # ****-*****61-68	0.00	50.00	0.00
Checking # ****-*****61-66	0.00	8,468.52	0.00
MMA # ***-*****61-65	696,599.89	0.00	0.00
	<b>\$939,015.60</b>	<b>\$939,015.60</b>	<b>\$0.00</b>